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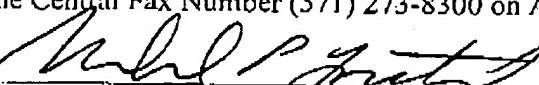
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Date: April 2, 2009

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Steven M.H. Wallman

SERIAL NO.: 10/644,891

FILING DATE: August 20, 2003

EXAMINER: Ms. Anne E. Loftus

ART UNIT: 3692

TITLE: METHOD AND APPARATUS FOR PORTFOLIO TRADING USING MARGIN

ATTY DOCKET: 1061/6

VIA FACSIMILE TO CENTRAL FAX NUMBER (571) 273-8300

ASSISTANT COMMISSIONER FOR PATENTS  
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SIR:

AMENDMENT AND RESPONSE

In response to the Office Action mailed January 2, 2009 the Applicant hereby submits the following amendments and remarks in the above-identified application.